

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 ON THE DATE INDICATED BELOW



BY: <u>Donna L. Marks</u>	DATE: <u>April 4, 2006</u>
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MAIL STOP ISSUE FEE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re: Patent Application of : **Attn: Official**
Gerald P. Sullivan : **Draftsperson**
: Group Art Unit 3625
Conf. No.: 6698 :
: Allowed: February 14, 2006
Appln. No. 09/624,732 :
: Filed: July 25, 2000 :
: Attorney Docket
For: APPARATUS AND METHOD FOR : No. 602163-1U1
CREATING AND MANAGING A
FINANCIAL INSTRUMENT
:

REQUEST FOR CORRECTED FILING RECEIPT

Attached is the original Filing Receipt for the above identified patent application.

In the Applicant(s) Section:

The Applicant's name is misspelled. Please correct it to read as follows:

Gerald P. Sullivan, Summit, NJ

Enclosed is a Supplemental Declaration executed by Applicant in support of this correction. Please make this correction and provide us with a Corrected Filing Receipt.

Respectfully submitted,

April 4, 2006
(Date)

By:

Clark Jablon
CLARK A. JABLON

Registration No. 35,039

AKIN, GUMP, STRAUSS, HAUER & FELD, L.L.P.

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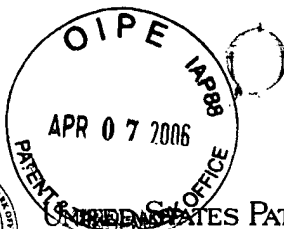
Philadelphia, PA 19103-7086

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/624,732	07/25/2000	2761	426	23334.01	12	29	1

FILING RECEIPT



OC000000005394399

R Lewis Gable
Cowan Liebowitz & Latman PC
1133 avenue of the Americas
New York, NY 10036-6799

Date Mailed: 09/13/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerald

Gerard P. Sullivan, Summit, NJ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/181,718 02/11/2000

Foreign Applications

If Required, Foreign Filing License Granted 09/12/2000

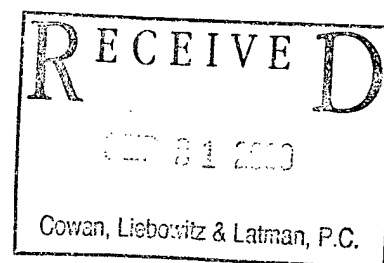
** SMALL ENTITY **

Title

Apparatus and method for creating and managing a financial instrument

Preliminary Class

705



DOCKETED

Data entry by : COWAN, ANNETTE

Team : OIPE

Date: 09/13/2000





SUPPLEMENTAL DECLARATION
(Original Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed, as amended by any and all amendments entered during the prosecution of the patent application identified herein, and for which a patent is sought on the invention entitled **APPARATUS AND METHOD FOR CREATING AND MANAGING A FINANCIAL INSTRUMENT** the specification of which was filed on **July 25, 2000** as Application No. **09/624,732**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any and all amendments entered during the prosecution of the application.

I acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of sole

or first inventor Gerald P. Sullivan

Inventor's Signature

Date

3/9/06

Residence

Summit, New Jersey

Citizenship

U.S.A.

Post Office Address

175 Oak Ridge Avenue, Summit, NJ 07901



Attorney Docket No. 602163-1U1

SUPPLEMENTAL DECLARATION
(Original Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed, as amended by any and all amendments entered during the prosecution of the patent application identified herein, and for which a patent is sought on the invention entitled **APPARATUS AND METHOD FOR CREATING AND MANAGING A FINANCIAL INSTRUMENT** the specification of which was filed on **July 25, 2000** as Application No. **09/624,732**.

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Full name of sole

or first inventor Gerald P. Sullivan

Inventor's Signature *Gerald P. Sullivan*

Date 3/21/06

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